

Indian advisory

Process for risk profiling of the client

Risk Analysis

Name:-

Email:-

Phone No.:-

Pan No:-

DOB:-

Gender:-

What is your Age Group?

- Under 35
- under 45
- 46-55
- 55-60
- 60+

What is Your Marital Status?

- Married
- Unmarried

How long do you want to stay invested into market investment? :

- 0-6 Month
- 7-12 Month
- 1-2 Year
- More Than 2 Year

Investment objective?

- To grow aggressively
- To grow moderately
- To grow with Caution

How long have you been investing in stock or commodity market. :

New in stock market
Last 1 Year
Last 2 Year

Proposed Investment Amount? :

1-2 Lacs
2-5 Lacs
5-10 Lacs
10-15 Lacs
15-20 Lacs
Above 20 Lacs

Gross Annual Income details:-

Up to 1 lac,
1-2 lac,
2-3 lacs,
Above 5 lacs

How do you rate your willingness to take financial risks?

Low risk taker
Average risk taker
High risk taker

Preferred Investment type?

Short term Positional
Long term Positional

What percentage of monthly income is allocated to pay off debt [all EMIs]?

None
Between 20% - 35%
Between 35% - 50%
> 50%

Occupation (please select the appropriate)

Government,
Private,
Self-employed
Student

Which of these statements would best describe your attitudes towards performance of this investment?

- I don't mind if I lose money
- I can tolerate a some loss
- I need to see at least some return
- I'd have a hard time tolerating any losses

What percentage of total net worth you are investing in this Investment Program?

- Less Than 25%
- 26% To 50%
- 51% To 75%
- 76% To 100%

You are financially responsible for (exclude dependents who can be supported by your spouse's income)?

- One Person
- 2 Person
- 3 Person
- Above 3

Name of the company

Any specific commodities or shares in which you have experience and are willing to trade, if yes Name of the securities.

Please find below mention product suitability as per client risk appetite.

s.no.	Low risk	Moderate Risk	High Risk
1	Not Available	Stock Cash	Premium cash
2	Not Available	Stock Future	Premium Future
3	Not Available		Stock Combo (Cash and Future)
4	Not Available	Nifty Future	
5	Not Available	Options	
6	Not Available	Mcx-Metal	Mcx-Bullion
7	Not Available	Ncdex-Agri	
8	Not Available	Not Available	HNI-cash &Future
9	Not Available	Not Available	HNI bullion/HNI Metal

Client Declaration

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I will be held liable for it.

RISK DISCLOSURE

PLEASE READ THE BELOW BEFORE SUBSCRIBE ANY SERVICE WITH US.

Stock trading is inherently risky. Prior to trading securities and commodities you must be aware of the risks involved. The high degree of leverage associated with these types of investments means that the degree of risk compared to other financial products is higher. Leverage (or margin trading) may work against you resulting in substantial loss. Past performance[s] of these types of investments does not guarantee any future results. You must bear in mind any commission and tax liabilities you personally will have from investing with us. Indian Advisory accepts no liability for any tax/commission/brokerage you may be required to pay on any profits/loss made during the service tenure with us. Trading on Margin involves a high level of risk and is not suitable for all investors. The high degree of leverage can work against you as well as for you and the speeds which profits and losses can occur, means that clients should monitor positions closely – it is the clients' sole responsibility to monitor open trades. Before trading, you should carefully consider your investment objectives, level of financial experience, risk appetite and suitability of service offered by Indian Advisory. If you are at all unsure as to the suitability of the products offered by Indian Advisory. Please seek other/independent financial adviser. Only surplus funds should be placed at risk and anyone who does not have such funds should not participate in trading securities and commodities.

Name:

Signature:

Date: